



Office of the Washington State Auditor
Pat McCarthy

Accountability Audit Report

Chelan-Douglas Transportation Council

Chelan County

For the period July 1, 2014 through June 30, 2017

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Office of the Washington State Auditor
Pat McCarthy

November 16, 2017

Council
Chelan-Douglas Transportation Council
Wenatchee, Washington

Report on Accountability

Thank you for the opportunity to work with you to promote accountability, integrity and openness in government. The State Auditor's Office takes seriously our role of providing state and local governments with assurance and accountability as the independent auditor of public accounts. In this way, we strive to help government work better, cost less, deliver higher value and earn greater public trust.

Independent audits provide essential accountability and transparency for Council operations. This information is valuable to management, the governing body and public stakeholders when assessing the government's stewardship of public resources.

The attached comprises our report on the Council's compliance and safeguarding of public resources. Our independent audit report describes the overall results and conclusions for areas we examined. We appreciate the opportunity to work with your staff and we value your cooperation during the audit.

Sincerely,

Pat McCarthy
State Auditor
Olympia, WA

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AUDIT SUMMARY

Results in brief

In the areas we audited, Council operations complied with applicable requirements and provided adequate safeguarding of public resources. The Council also complied with state laws and regulations and its own policies and procedures in the areas we examined.

About the audit

This report contains the results of our independent accountability audit of the Chelan-Douglas Transportation Council from July 1, 2014 through June 30, 2017.

Management is responsible for ensuring compliance and adequate safeguarding of public resources from fraud, loss or abuse. This includes the design, implementation and maintenance of internal controls relevant to these objectives.

Our audit involved performing procedures to obtain evidence about the Council's uses of public resources, compliance with state laws and regulations and its own policies and procedures, and internal controls over such matters.

In keeping with general auditing practices, we do not examine every transaction, activity or area. Instead, the areas examined were those representing the highest risk of fraud, loss, abuse, or noncompliance. The following areas were examined during this audit period:

- General disbursements
- Internal controls over payroll
- Credit card payments
- Leave accrual and use

INFORMATION ABOUT THE COUNCIL

The Chelan-Douglas Transportation Council (formerly known as Wenatchee Valley Transportation Council) was created in 2003 through an inter-local agreement among Chelan County, Douglas County, the City of Wenatchee, the City of East Wenatchee, the City of Rock Island, the Port of Chelan County, the Port of Douglas County, Link Transit and the Washington State Department of Transportation (WSDOT). The agreement created a metropolitan planning organization for the greater Wenatchee urbanized area and to ensure the metropolitan area has a continuing, cooperative and comprehensive transportation planning processes. The Council's primary goals are to develop long-range plans for the next 20 years and a six-year transportation improvement program, which is consistent with the long-range plan.

The Council is made up of 10 appointed councilmembers. Each member is appointed by their respective agency to serve on the Council. In fiscal years 2015, 2016 and 2017, the Council reported revenues of approximately \$550,000, \$450,000 and \$600,000, respectively. The majority of expenditures fund the salaries of the Council's four employees.

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Information current as of report publish date.

Audit history

You can find current and past audit reports for the Chelan-Douglas Transportation Council at <http://portal.sao.wa.gov/ReportSearch>.

ABOUT THE STATE AUDITOR'S OFFICE

The State Auditor's Office is established in the state's Constitution and is part of the executive branch of state government. The State Auditor is elected by the citizens of Washington and serves four-year terms.

We work with our audit clients and citizens to achieve our vision of government that works for citizens, by helping governments work better, cost less, deliver higher value, and earn greater public trust.

In fulfilling our mission to hold state and local governments accountable for the use of public resources, we also hold ourselves accountable by continually improving our audit quality and operational efficiency and developing highly engaged and committed employees.

As an elected agency, the State Auditor's Office has the independence necessary to objectively perform audits and investigations. Our audits are designed to comply with professional standards as well as to satisfy the requirements of federal, state, and local laws.

Our audits look at financial information and compliance with state, federal and local laws on the part of all local governments, including schools, and all state agencies, including institutions of higher education. In addition, we conduct performance audits of state agencies and local governments as well as [fraud](#), state [whistleblower](#) and [citizen hotline](#) investigations.

The results of our work are widely distributed through a variety of reports, which are available on our [website](#) and through our free, electronic [subscription](#) service.

We take our role as partners in accountability seriously, and provide training and technical assistance to governments, and have an extensive quality assurance program.

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